

# GARWARE MARINE INDUSTRIES LIMITED

Regd. Office : 102 Buildarch Wisteria, 1st Floor, Ram Maruti Road, Dadar (W), Mumbai - 400 028. Tel.: 91-22-24364024  
Corp. Office : 101, Swapnabhoomi, 'A' Wing, S. K. Bole Road, Dadar (W), Mumbai - 400 028. Tel.: 91-22-24234000 Fax: +91-22-24362764  
CIN NO: L12235MH1975PLCO18481

Ref : GMIL/2021/159

April 15, 2021

To,  
BSE Limited  
Phiroze Jeejeebhoy Towers  
Dalal Street  
MUMBAI - 400 001

Dear Sirs,

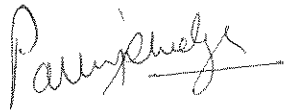
**Sub : Regulation 40(9) of SEBI (LODR) Regulations, 2015.**

Pursuant to Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith Compliance Certificate issued by the Practicing Company Secretary, Mr. Taher Sapatwala, for the period from 01<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021.

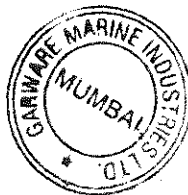
Kindly acknowledge receipt.

Thanking you,

Yours faithfully,  
for **GARWARE MARINE INDUSTRIES LIMITED**



**PALLAVI P. SHEDGE**  
**COMPANY SECRETARY**



Encl: As above.



Email: [secretarial@garwaremarine.com](mailto:secretarial@garwaremarine.com) / [garware.marine@gmail.com](mailto:garware.marine@gmail.com) / [investorredressal@garwaremarine.com](mailto:investorredressal@garwaremarine.com) / [gmlmum@gmail.com](mailto:gmlmum@gmail.com)  
Website: [www.garwaremarine.com](http://www.garwaremarine.com)

PLEASE ADDRESS ALL COMMUNICATION TO THE COMPANY AND NOT TO INDIVIDUALS



**Taher Sapatwala & Associates**  
Company Secretaries

**Taher S. Sapatwala**  
FCS, LLB, MBA  
9768921021 | 9223506612

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA**  
**(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015.**

**FOR THE HALF YEAR ENDED 31ST MARCH, 2021**

I have examined Share Transfer Deeds, Memorandum of Transfers, Registers, Files and other documents relating to M/s. GARWARE MARINE INDUSTRIES LIMITED [CIN: L12235MH1975PLC018481] maintained by M/s. BIGSHARE SERVICES PVT. LTD. Registrar and Share Transfer Agents pertaining to transfer of Equity Shares of the Company for the period from 1st October, 2020 to 31st March, 2021 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that :

A]

- 1.a) The Company has not received during the half year ended on 31st March, 2021 any Share Certificates relating to the transfer of Shares for the period from 1st October, 2020 to 31st March, 2021.
- 1.b) The Company has not received during the half year ended on 31st March, 2021 any Share Certificates in respect of request relating to sub-division (split), renewal and consolidation for the period from 1st October, 2020 to 31st March, 2021.
- 1.c) As per the records made available to me there were no requests relating to exchange or endorsement of calls / allotment monies received during the said period.

B] Any other information, if applicable: - None



Taher Sapatwala  
Taher Sapatwala & Associates  
Company Secretaries  
FCS: 8029  
C.P. No. 16149  
UDIN: F008029C000097888

Place : Mumbai  
Date : 15th April, 2021